

## Disruptions in railway networks

Francesco Corman

francesco.corman@ivt.baug.ethz.ch
Chair for Transport Systems

## Those slides

- Background on scheduling / routing
- Bad models
- Refreshing positive results from reality
- Interactions
- Understanding more about demand



## Background on scheduling / routing: why railway transport is peculiar

## Routing /scheduling: Interesting instances

- When things are constant, and nobody influences anybody else: relatively easy
- In reality, there is some influence
- Routing in time and space models explicitly changes over time

- Interesting case: When capacity of links or intersections is limited
- Opportunity: When vehicles/people can be "controlled"
- Issues: when things "interact"



## An extended time space network



 First In First Out
2700 block sections,
150 trainc / h


## State of the art in railway traffic control

- Hundreds of trains can be modelled
- For a time horizon of one hour or so
- Orders, routes and times optimally decided
- Limited inclusion of non linear effects -
speed variations as function of the orders chosen passenger loads


## Railway traffic management (incomplete, incorrect graph)



## Bad Models: How railway traffic control models apply to disruptions

F Corman, Assessment of advanced dispatching measures for recovering disrupted railway situations. Transportation Research Record

## ㅋIHzürich

## Disruption situation


$\square \sqrt{7 \text { Institut für Verkehrsplanunt }}$
Situation $\rightarrow$ Resolution $\rightarrow$ Disposition


## GHzürich

## A lot of resolution scenarios



Arnhem


Arnhem

$\square \square \sqrt{7 \text { Institut für Verkehrsplanum! }}$
Situation $\rightarrow$ Resolution $\rightarrow$ Disposition

## A lot of performance indicators

| Alternative | Gener <br> Traveltime <br> $\mathrm{Ht} \rightarrow \mathrm{Aco}$ | $\begin{aligned} & \text { Freq } \\ & \text { Services } \\ & \mathrm{Ht} \rightarrow \text { Aco } \end{aligned}$ | Freq <br> Services <br> $\mathrm{Ht} \rightarrow \mathrm{Ut}$ | Gener <br> TravelTime $\mathrm{Ht} \rightarrow \mathrm{Ut}$ | Gener <br> Traveltime $\mathrm{Ut} \rightarrow \mathrm{Aco}$ | Freq Services Ut $\rightarrow$ Aco | Gener <br> TravelTime <br> Aco $\rightarrow$ Ut | Freq <br> Services <br> Aco $\rightarrow$ Ut | Gener <br> Traveltime <br> $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | Freq <br> Services <br> $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | Gener <br> Traveltime <br> $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | Freq Services $\mathrm{Aco} \rightarrow \mathrm{Ht}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 12_0_0 | 3765 | 6.5 | 4040 | 8 | 2144 | 15 | 2398 | 6.5 | 4455 | 4.5 | 3423 | 11.5 |
| 12+shuttle_0_0 | 3714 | 5 | 4057 | 8 | 3179 | 15 | 2518 | 6.5 | 7697 | 3.5 | 4010 | 12.5 |
| 8_4_0 | 3854 | 6.5 | 3844 | 6.5 | 3216 | 14.5 | 2104 | 6 | 5215 | 4 | 4704 | 11 |
| 8+shuttle_4_0 | 3839 | 3.5 | 3821 | 6.5 | 4333 | 15.5 | 2187 | 6 | 9358 | 2.5 | 5164 | 12.5 |
| 8 _0_4 | 3735 | 3.5 | 4326 | 5.5 | 3010 | 8.5 | 3153 | 3 | 5502 | 2 | 3660 | 7 |
| 8 _0_4+shuttle | 3708 | 3.5 | 4326 | 5.5 | 2653 | 12 | 2440 | 6.5 | 6545 | 3.5 | 4028 | 9 |
| 8+shuttle_0_4+shuttle | 3723 | 3.5 | 4592 | 5.5 | 2929 | 12 | 2518 | 6.5 | 7826 | 2.5 | 4248 | 8.5 |
| 4_4_4 | 3744 | 1.5 | 5055 | 3.5 | 5014 | 8.5 | 3390 | 2 | 7175 | 0.5 | 4370 | 4.5 |
| 4_4_4+shuttle | 3719 | 1.5 | 5055 | 3.5 | 3828 | 12.5 | 2187 | 6 | 8194 | 1 | 4706 | 5.5 |
| 4_0_8 | 4000 | 0 | 4000 | 2 | 4000 | 0 | 4000 | 0 | 4000 | 0 | 5000 | 1.5 |
| 4_0_8+shuttle | 3750 | 1 | 5471 | 2 | 2424 | 9 | 2518 | 6.5 | 8776 | 1.5 | 5592 | 4.5 |
| TIMETABLE REF | 3672 | 7 | 3589 | 8 | 2840 | 14 | 2540 | 6.5 | 4294 | 4.5 | 3228 | 11.5 |

## A lot of performance indicators

| Alternative | Average Total Delay (s) | Max Total Delay <br> (s) | Average Consecutive Delay (s) | Max <br> Consecutive <br> Delay (s) | Punctuality 5 <br> $\min$ (\% <br> running trains)  | Canceled trains (absolute number) | Capacity occupation, $\mathrm{Ht} \leftarrow \rightarrow \mathrm{Ut}$ | Extra Units <br> compared to <br> plan  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 12_0_0 | 43.8998 | 510 | 21.2463 | 510 | 94.73684 | 0 | 1.231 | 0 |
| 12+shuttle_0_0 | 43.258 | 510 | 21.0339 | 510 | 95.83333 | 0 | 1.242 | 8 |
| 8_4_0 | 98.8813 | 1739 | 67.4402 | 1206 | 88.88889 | 0 | 1.143 | 4 |
| 8+shuttle_4_0 | 96.73 | 1739 | 65.6454 | 1206 | 89.16667 | 0 | 1.154 | 8 |
| 8_0_4 | 37.2391 | 510 | 14.6082 | 510 | 97.22222 | 4 | 0.959 | -4 |
| 8 _0_4+shuttle | 37.1944 | 510 | 14.4421 | 510 | 97.2973 | 4 | 0.948 | 0 |
| 8+shuttle_0_4+shuttle | 36.7468 | 510 | 14.2366 | 510 | 96.49123 | 4 | 0.948 | 4 |
| 4_4_4 | 56.6107 | 1739 | 24.9972 | 1206 | 92.79279 | 4 | 0.948 | 0 |
| 4_4_4+shuttle | 56.818 | 1739 | 25.2173 | 1206 | 92.98246 | 4 | 0.948 | 4 |
| 4_0_8 | 28.668 | 510 | 6.70236 | 510 | 100 | 8 | 0.959 | -4 |
| 4_0_8+shuttle | 29.3327 | 510 | 6.78802 | 510 | 100 | 8 | 0.959 | 0 |
| TIMETABLE REF | 26.8934 | 510 | 5.81801 | 510 | 100 | 0 |  | 0 |
| $\square \sqrt{\square} \sqrt{\text { insstitutut für ver rerehrss ranspor }}$ | Situation - | $\rightarrow$ Resolution | $\rightarrow$ Disposit |  |  |  |  | \| 18.09.2019 | 15 |

## 캐zürich

## Comparing them

## ?

| Alternative | Average Total <br> Delay ( $s$ )  | Max Total Delay <br> (s) | Average <br> Consecutive <br> Delay (s) | Max <br> Consecutive <br> Delay (s) | $\left\|\begin{array}{ll}\text { Punctuality } & 5 \\ \text { min } & \text { (\% } \\ \text { running trains) }\end{array}\right\|$ | Canceled trains <br> (absolute <br> number) | Capacity occupation, $\mathrm{Ht} \leftarrow \rightarrow \mathrm{Ut}$ | Extra <br> compared <br> plan |  | Gener Traveltime $\mathrm{Ht} \rightarrow$ Aco | $\begin{aligned} & \text { Freq } \\ & \text { Services } \\ & \mathrm{Ht} \rightarrow \text { Aco } \end{aligned}$ | $\begin{aligned} & \text { Freq } \\ & \text { Services } \\ & \mathrm{Ht} \rightarrow \mathrm{Ut} \end{aligned}$ | Gener TravelTime $\mathrm{Ht} \rightarrow \mathrm{Ut}$ | Gener Traveltime Ut $\rightarrow$ Aco | $\begin{aligned} & \text { Freq } \\ & \text { Services } \\ & \text { Ut } \rightarrow \text { Aco } \end{aligned}$ | Gener <br> TravelTime <br> $\mathrm{Aco} \rightarrow \mathrm{Ut}$ | $\begin{array}{\|l\|} \hline \begin{array}{l} \text { Freq } \\ \text { Services } \\ \text { Aco } \rightarrow \text { Ut } \end{array} \\ \hline \end{array}$ | Gener <br> Traveltime <br> $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | Freq Services $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | Gener Traveltime $\mathrm{Aco} \rightarrow \mathrm{Ht}$ | $\begin{array}{\|l} \hline \begin{array}{l} \text { Freq } \\ \text { Services } \end{array} \\ \text { Aco } \rightarrow \mathrm{Ht} \end{array}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 12_0_0 | 43.8998 | 510 | 21.2463 | 510 | 94.73684 | 0 | 1.231 | 0 |  | 3765 | 6.5 | 4040 | 8 | 2144 | 15 | 2398 | 6.5 | 4455 | 4.5 | 3423 | 11.5 |
| 12+shuttle_0_0 | 43.258 | 510 | 21.0339 | 510 | 95.833330 | 0 | 1.242 | 8 |  | 3714 | 5 | 4057 | 8 | 3179 | 15 | 2518 | 6.5 | 7697 | 3.5 | 4010 | 12.5 |
| 8_4_0 | 98.8813 | 1739 | 67.4402 | 1206 | 88.888890 | 0 | 1.143 | 4 |  | 3854 | 6.5 | 3844 | 6.5 | 3216 | 14.5 | 2104 | 6 | 5215 | 4 | 4704 | 11 |
| 8+shuttle_4_0 | 96.73 | 1739 | 65.6454 | 1206 | 89.16667 C | 0 | 1.154 | 8 |  | 3839 | 3.5 | 3821 | 6.5 | 4333 | 15.5 | 2187 | 6 | 9358 | 2.5 | 5164 | 12.5 |
| 8_0_4 | 37.2391 | 510 | 14.6082 | 510 | 97.22222 | 4 | 0.959 | -4 |  | 3735 | 3.5 | 4326 | 5.5 | 3010 | 8.5 | 3153 | 3 | 5502 | 2 | 3660 | 7 |
| 8_0_4+shuttle | 37.1944 | 510 | 14.4421 | 510 | 97.29734 | 4 | 0.948 | 0 |  | 3708 | 3.5 | 4326 | 5.5 | 2653 | 12 | 2440 | 6.5 | 6545 | 3.5 | 4028 | 9 |
| 8+shuttle_0_4+shuttle | 36.7468 | 510 | 14.2366 | 510 | 96.491234 | 4 | 0.948 | 4 |  | 3723 | 3.5 | 4592 | 5.5 | 2929 | 12 | 2518 | 6.5 | 7826 | 2.5 | 4248 | 8.5 |
| 4_4_4 | 56.6107 | 1739 | 24.9972 | 1206 | 92.792794 | 4 | 0.948 | 0 |  | 3744 | 1.5 | 5055 | 3.5 | 5014 | 8.5 | 3390 | 2 | 7175 | 0.5 | 4370 | 4.5 |
| 4_4_4+shuttle | 56.818 | 1739 | 25.2173 | 1206 | 92.982464 | 4 | 0.948 | 4 |  | 3719 | 1.5 | 5055 | 3.5 | 3828 | 12.5 | 2187 | 6 | 8194 | 1 | 4706 | 5.5 |
| 4_0_8 | 28.668 | 510 | 6.70236 | 510 | 1008 |  | 0.959 | -4 |  | 4000 | 0 | 4000 | 2 | 4000 | 0 | 4000 | 0 | 4000 | 0 | 5000 | 1.5 |
| 4_0_8+shuttle | 29.3327 | 510 | 6.78802 | 510 | 1008 |  | 0.959 | 0 |  | 3750 |  | 5471 | 2 | 2424 | 9 | 2518 | 6.5 | 8776 | 1.5 | 5592 | 4.5 |
| timetable ref | 26.8934 | 510 | 5.81801 | 510 | 1000 |  |  | 0 |  | 3672 | 7 | 3589 | 8 | 2840 | 14 | 2540 | 6.5 | 4294 | 4.5 | 3228 | 11.5 |

## GIHzürich

## Disruption management is complex

- Models can help, ...
- if you know which solutions would be acceptable (automatic scenario generation?)
- if you know which constraints exist (better model, more integration )

If you know how dispatcher would take decisions (?)

- If you know how passengers would react
- Statistics cannot help
- More integration/optimization make smaller problems disappear, bigger problems arise


T Partl, Master Thesis ETH

## GIHzürich

## Rastatt

- Disruption for about two months, 15.08 to 02.10 2018. No traffic.

- European corridor Rotterdam Genoa


## Local cancellations lead to few cancellations in Switzerland

- Cancel train
- Buses, passengers
- Freight? (not analysed) ${ }^{10}$


Figure 7: Numbers of extra and cancelled trains arriving at Zurich HB and Olten

## How to compare operations before / during disruptions

- Compare distributions, looking for jumps at beginning/ end of disruption through one year of data



## GIHzürich

## Primary delays: Trains coming from Germany




| p | $\mathrm{I}_{1}$ | $\mathrm{I}_{2}$ | p -value <br> KS-test | p -value <br> t-test |
| :--- | :--- | :---: | :---: | :---: |
| 0.2 | 0.86 | 0.80 | $7.2 \times 10^{-3}$ | $8.9 \times 10^{-16}$ |
| 0.4 | 0.80 | 0.93 | $2.4 \times 10^{-6}$ | $1.8 \times 10^{-21}$ |
| 0.5 | 0.81 | 0.93 | $1.2 \times 10^{-6}$ | $6.5 \times 10^{-25}$ |
| 0.6 | 0.79 | 0.95 | $3.6 \times 10^{-7}$ | $7.7 \times 10^{-25}$ |
| 0.8 | 0.64 | 0.70 | $2.0 \times 10^{-9}$ | $1.3 \times 10^{-24}$ |

## GIHzürich

## Secondary delays: indirect network effects

Indirectly affected: Olten \& Zürich HB


| p | $\mathrm{I}_{1}$ | $\mathrm{I}_{2}$ | p-value <br> KS-test | p -value <br> t-test |
| :--- | :--- | :--- | :---: | :---: |
| 0.2 | 0.71 | 0.90 | $2.3 \times 10^{-2}$ | $2.9 \times 10^{-3}$ |
| 0.4 | 0.93 | 0.99 | $1.6 \times 10^{-3}$ | $1.8 \times 10^{-7}$ |
| 0.5 | 0.88 | 0.88 | $6.8 \times 10^{-5}$ | $2.2 \times 10^{-7}$ |
| 0.6 | 0.84 | 0.74 | $7.1 \times 10^{-4}$ | $2.4 \times 10^{-7}$ |
| 0.8 | 0.88 | 0.90 | $4.3 \times 10^{-3}$ | $1.6 \times 10^{-9}$ |

Unaffected: Yverdon \& Fribourg / Freiburg


| $p$ | $\mathrm{I}_{1}$ | $\mathrm{I}_{2}$ | p-value <br> KS-test | p -value <br> t-test |
| :--- | :--- | :---: | :---: | :---: |
| 0.2 | 0.70 | 0.80 | $4.7 \times 10^{-2}$ | $2.8 \times 10^{-3}$ |
| 0.4 | 0.71 | 0.31 | $2.6 \times 10^{-1}$ | $3.4 \times 10^{-2}$ |
| 0.5 | 0.59 | 0.32 | $4.1 \times 10^{-1}$ | $1.5 \times 10^{-1}$ |
| 0.6 | 0.60 | 0.49 | $8.0 \times 10^{-2}$ | $3.5 \times 10^{-1}$ |
| 0.8 | 0.58 | 0.15 | $6.1 \times 10^{-2}$ | $1.9 \times 10^{-2}$ |

## Disruptions are good, if we measure the wrong things

- Clear effect of isolation of network $\rightarrow$ less delays
- Locally outsourcing delays to passengers
- Globally observing network dynamics
$25^{\text {th }}$ percentile

$75^{\text {th }}$ percentile

$50^{\text {th }}$ percentile


Number of daily trains

## Ongoing work: replicate the dynamics in simulation models

- Challenges: real life dynamics, all possible sources of delays appear; the system changed, as a reaction to the disruption
- Unique opportunity: empirically see the performance of a railway network from a statistical point of view, over a large shock in some of its characteristics
- Quantify delay impact of factors

$50^{\text {th }}$ percentile



## Interaction modelling

## Passengers Routing in public transport networks

- Divide hierarchically into layers post process, simulate, adjust
- Equal importance given to problem: iterate coordinate, converge



## Schedule-based Transit assignment

Knowing passengers demand per time
Routing of passengers is based on shortest travel time
Vehicles (trains) have infinite passengers capacity
(relatively strong assumptions!)

Schedule-based assignment $\rightarrow$ min cost flow problem


## Interaction

## Possible solutions -who does what, why?

- Optimize everything (integrated model)
~System optimum
- Minimize delay weighted by passengers; Passengers react to schedule, trains react to passengers choice
~Nash
- Keep the timetable order; or optimize schedule Passengers adjust route choices ~Inv. Stackelberg
- Passengers publish their choices / cost functions; optimize schedule to minimize travel time $\sim$ Stackelberg


## Upper bound to optimum



## Larger/better models: How to include demand in our models

N. Leng, Agent-based simulation approach for disruption management in rail schedule, CASPT A, Marra, Multimodal passive tracking of passengers to analyse public transport use, STRC

A larger perspective onto activities: agent based simulation


## Example disruption, Zurich

Oerlikon
~300 trains/ day
~85000 pax/day


## GIHzürich

## Comparison of the cases: delays, mode usage


$\square \square /$ Institut für Verkehrsplanung und Transportsysteme



Benchmark



Timely information


## Lessons learnt

- Large (agent based) simulation models are complex
- The realistic behavior of people is complex to attain
- Interplay between operations, passengers decisions and (limited) information is crucial, but hard to model in a realistic manner
- Current work: integration of rolling stock rescheduling; creation of more information dissemination strategy (who knows what when? And how correct it is?)


## Study mobility in-vivo

- Typically user interaction-intensive
- Typically battery intensive
- Own developed
- Testing ongoing



Cleaning of data


## Diary

Fig. 7 Continuous tracking of a single user for one month. Activities in the same place have the same color, that goes from red to yellow according to the time spent in the activity. A white space indicates absence of signal.

This is different!



## Lessons learnt

- Disruptions are gray; a complete link closure might have an impact comparable to a delayed vehicle
- Large samples might help; data must be complemented with annotations
- Choice models can be estimated
- Mobility providers might know about us than we know



## Disruptions in railway networks

Francesco Corman

francesco.corman@ivt.baug.ethz.ch
Chair for Transport Systems

