



# Never ignore retirement plan governance. *Here's why...*

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action  
settlements  
= \$1 billion<sup>1</sup>

**6** OUT OF 10  
ERISA lawsuits  
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**113 persons**  
**indicted**  
for crimes related to  
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A key best practice to  
effective plan governance?

DOCUMENT,  
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## A well-structured governance program helps to:

**Streamline** decision making

**Prepare** the plan and its fiduciaries for potential risks

**Increase** the likelihood that plan and participant objectives are met

**Seek** to improve financial controls

For help developing an effective plan governance program,  
contact us today!



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This information was developed as a general guide to educate plan sponsors and is not intended as authoritative guidance or tax/legal advice. Each plan has unique requirements, and you should consult your attorney or tax advisor for guidance on your specific situation.

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<sup>1</sup> Department of Labor. "EBSA Monetary Results Fact Sheet." 2018